## JC03 Rec'd PCT/PTO 3 0 APR 2009

PTO-1390 (Rev. 02-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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TRANSMITTAL LETTER TO THE UNITED STATES			ATTORNEY'S DOCKET NUMBER 2395-USP-PCT-US				
DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371			U.S. APPLICATION NO. (16 known_see 37 CFF 1.5)				
	TIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED				
PCT/US03/040318 PCT/US03/040318			December 17, 2002				
TITLE OF	TITLE OF INVENTION SURGICAL STAPLE-CLIP AND APPLIER						
APPLICA	NT(S) FOR DO/EO/US CHARLES (	C. HART					
Applican	t herewith submits to the United Sta	ites Designated/Elected Office (DO/EC	I/US) the following items and other information:				
1.	This is a FIRST submission of items con	ncerning a submission under 35 U.S.C. 371					
2.	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.						
3.	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.						
4.	The US has been elected (Article 31).						
5. <b>X</b>	A copy of the International Application as filed (35 U.S.C. 371(c)(2))						
	a. 🕱 is attached hereto (required only if not communicated by the International Bureau).						
	b.  has been communicated by the International Bureau.						
	c. is not required, as the application was filed in the United States Receiving Office (RO/US).						
6. <b>X</b>	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).						
	a. is attached hereto.						
	b. 🗶 has been previously submitted under 35 U.S.C. 154(d)(4).						
7. <b>X</b>	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))						
	a. are attached hereto (required only if not communicated by the International Bureau).						
	b. have been communicated by the International Bureau.						
	c. have not been made; however, the time limit for making such amendments has NOT expired.						
_	d. An have not been made and will not be made.						
8. 📙	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).						
9. 🗶	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).						
10.	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).						
Items 11 to 20 below concern document(s) or information included:							
11. 🗶	An Information Disclosure Statement	under 37 CFR 1.97 and 1.98.					
12. 🗶	An assignment document for recording	g. A separate cover sheet in compliance wit	h 37 CFR 3.28 and 3.31 is included.				
13.	A preliminary amendment.						
14.	An Application Data Sheet under 37 CFR 1.76.						
15. 🗀	A substitute specification.						
16.	A power of attorney and/or change of	address letter.					
17.	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.						
18.	A second copy of the published International Application under 35 U.S.C. 154(d)(4).						
19.	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).						
20.	Other items or information: Search F	Report					

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTC-1390 (Rev. 02-2005)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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U.S. APPLICAT	0753	Sep. 37 CFR 1.5	) INTERNATIONAL A		ATTORNEY'S DOO	KET NUMBER
4	0/22	2270	PCT/US03/040	318	2395-USP-PC	T-US
The following fees have been submitted					CALCULATIONS	PTO USE ONLY
21. Basic national fee				\$300	<sup>\$</sup> 300	
If International p PCT Article 33	nination fee reliminary examin 3(1)-(4)	\$ 200				
Search fee (37 C Internatio International Sea	rch fee FR 1.445(a)(2)) h nal Searching Aut rch Report prepar is	\$ 100				
	TOTAL OF 21, 22	\$ 600.00				
sequence lis	e for specification sting or computer 250 for each addit					
Total Sheets	Extra Sheets	1	n additional 50 or fraction up to a whole number)	RATE		
56 - 100 =	/50 =			x \$250	\$ 0	
Surcharge of \$13 claimed priority d	0.00 for furnishing ate (37 CFR 1.49)	g the oath or decla 2(h)).	aration later than 30 months f		\$	
CLAIMS	NUMB	ER FILED	NUMBER EXTRA	RATE	\$	
Total claims	43	- 20 =	23	x \$ 50	\$ 1150	
Independent clair	ns 6	-3=	3	x \$200	\$ 600	
MULTIPLE DEPE	NDENT CLAIM(S	S) (if applicable)		+ \$360	\$ 0	<del> </del>
	<u>`</u>		TOTAL OF ABOVE	CALCULATIONS =	\$ 2,350.00	<del>                                     </del>
Applicant cla	ims small entity st	tatus. See 37 CFF	R 1.27. Fees above are redu		7 2,000.00	
				SUBTOTAL =	\$ 2,350.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). \$2,350.00						
TOTAL NATIONAL FEE = \$						
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +						
			TOTAL F	EES ENCLOSED =	\$	
					Amount to be refunded:	\$
····					Amount to be charged:	\$ 2,390.00
a. A check in the amount of \$ to cover the above fees is enclosed.						
b. Please charge my Deposit Account No. 01-2215 in the amount of \$ 2,340.00 to cover the above fees.  A duplicate copy of this sheet is enclosed.						
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 01-2215. A duplicate copy of this sheet is enclosed.						
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.						
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed						
and granted to restore the International Application to pending status.  SEND ALL CORRESPONDENCE TO:						
Kenneth K. Vu SIGNATURE						
Applied Medical Resources					V VIII	
22872 Avenida Empresa REININETT					N. VU	
Rancho Sant	a Margarita, C					
				46,323 REGISTRATIO	ON NUMBER	

Attorney Docket No.: 2395-USP-PCT-US

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

in re applicati	on: Charles C. Hart	) Customer No. 21378
Serial No.: Filed: For SURG	To be Assigned  Herewith  SICAL STAPLE-CLIP APPLIER	) National Phase of International Application: ) PCT/US03/040318 ) International Filing Date: ) December 16, 2003 ) Priority Date: ) December 17, 2002
EXPRESS M		4057739 US

I hereby certify that the following documents, as identified below, are being deposited with the United States Postal Service "Express Mail" to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and are addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

- Transmittal Letter to the U.S. Designated/Elected Office (DO/EO/US) concerning a Filing Under §35 U.S.C. 371;
- 2. Copies of PCT Request; International Search Report, and International Publications A2 and A3;
- 3. Specification including Abstract (31 pages and 43 claims);
- 4. Formal Drawings (25 pages, Figures 1-27);
- 5. Executed Declaration:
- 6. Assignment Recordation Cover Sheet;
- 7. Executed Assignment;
- 8. Information Disclosure Statement;
- 9. Information Disclosure Form (PTO/SB/08a) with copies of (3) foreign citations; and
- 10. Return-receipt postcard.

Each of the above-identified documents is enclosed herewith.

Respectfully submitted,

Barbara Johnson

**Applied Medical Resources Corporation** 

**Customer No.: 21378**Telephone (949) 713-8000
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